

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
128834-2

In Re Application Of: **Borst et al.**

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/638,094	08/07/2003	Xu, Ling X.	23413	1775	3383

Title: **DATA STORAGE MEDIUM AND METHOD FOR THE PREPARATION THEREOF**



Address to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

- The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

- The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

the statement specified in 37 CFR 1.97(e);

**OR**

the fee set forth in 37 CFR 1.17(p).

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**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

A check in the amount of \_\_\_\_\_ is attached.

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*J. Michael Buchanan*  
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J. Michael Buchanan  
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Dated: **April 3, 2006**

CC:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Borst et al. ) Group Art Unit: 1775  
Serial No.: 10/638,094 )  
Filing Date: 08/07/2005 ) Examiner: Xu, Ling X.  
For: DATA STORAGE MEDIUM )  
AND METHOD FOR THE )  
PREPARATION THEREOF )

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR §§ 1.56, 1.97 AND 1.98

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In compliance with the duty to disclose, submitted herewith is form PTO-A820 (PTO-1449) listing publication(s) of which those designated by 37 CFR § 1.56 are aware. Copies of the non-United States patents or published applications are enclosed.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made, or an admission that the information cited is, or is considered to be, material to patentability.

Respectfully submitted,

CANTOR COLBURN LLP

By: J. Michael Buchanan  
J. Michael Buchanan  
Registration No. 44,571

Date: April 3, 2006  
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Telephone No. (860) 286-2929

PTO-1449 <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <b>LIST OF ITEMS</b> <i>(Use several sheets if necessary)</i>		Attorney's Docket Number 128834-1	Serial Number 10/638,094			
<i>APR 05 2006</i>		Name of Applicant Borst et al.				
		Filing Date 08/07/2003	Group Art 1775			
<b>TRANSLATED DOCUMENTS</b>						
Examiner Initial	Document Number	Date	NAME	Class	Subclass	Filing Date If Appropriate
	4942073	6/17/1990	Era et al.			
	5118573	6/2/1992	Tawara et al.			
	5297132	3/22/1994	Takano et al.			
	5330852	7/19/1994	Gerstenberg et al.			
	5525379	6/11/1996	Takada et al.			
<b>U.S. PATENT APPLICATION PUBLICATIONS</b>						
Examiner Initial	Document Number	Date	NAME	Class	Subclass	Filing Date If Appropriate
<b>FOREIGN PATENT DOCUMENTS</b>						
Examiner Initial	Document Number	Date	COUNTRY	Class	Subclass	TRANSLATION YES NO
<b>OTHER INFORMATION</b> (including author, title, date, pertinent)						
	Y.Barrell et al., "Expanding Thermal Plasma for Fast Deposition of Scratch-Resistant SiCxHyOz Films", Surface and Coatings Technology 180-181 (2004) 367-371					
	M.F.A.M. van Hest doctoral thesis, "High Rate Deposition of Silicone Oxide Like Films" pages 95-108, 133-154, 167-169 (2002)					
EXAMINER			DATE CONSIDERED			

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.